

EXECUTION VENUES

ABG Sundal Collier Group

28 May 2019



ABG Sundal Collier (“ABGSC”) execution venues

Below is a list of execution venues, as noted in section 8 of the Order Execution Policy, used by ABGSC when executing orders on behalf of clients. This list is subject to change following regular review of execution venues as set out in section 9 of the Order Execution Policy and will be re-issued periodically.

ABGSC receives no payment for order flow nor inducements from any venue listed below.

1 ABGSC Execution Venues - Equities

- ABG Sundal Collier Systematic Internaliser (SI)
- ABG Sundal Collier ASA (principal)
- Aktietorget Spotlight
- Aquis
- Aquis Periodic (Periodic)
- Cboe BXE
- Cboe BXE Dark (Dark)
- Cboe CXE
- Cboe CXE Dark (Dark)
- Cboe LIS (Conditional)
- Cboe Periodic Auctions (Periodic)
- Citadel Systematic Internaliser (SI)
- Credit Suisse Systematic Internaliser (SI)
- Goldman Sachs Systematic Internaliser (SI)
- Hudson River Trading Systematic Internaliser (SI)
- Instinet BlockMatch (Dark)
- ITG POSIT (Dark)
- ITG POSIT Alert (Conditional)
- ITG POSIT Auction (Periodic)
- Jane Street Systematic Internaliser (SI)
- JP Morgan Systematic Internaliser (SI)
- Jump Liquidity Systematic Internaliser (SI)
- Liquidnet (Conditional)
- Liquidnet MTF (Dark)
- Nasdaq Auction on Demand (Periodic)
- Nasdaq Copenhagen
- Nasdaq Helsinki
- Nasdaq Norway
- Nasdaq Stockholm
- Nasdaq Nordic@Mid (Dark)
- Nordic Growth Market
- NYSE Euronext Smartpool (Dark)
- Oslo Börs
- Oslo Börs North Sea (Dark)
- SIGMA X MTF (Dark)
- SIGMA X MTF Periodic (Periodic)
- Swiss at Mid (Dark)
- Third party brokers
- Tower Research Systematic Internaliser (SI)
- Turquoise
- Turquoise Periodic (Periodic)
- Turquoise Plato Block Discovery (Conditional)
- Turquoise Uncross (Dark)
- UBS MTF (Dark)
- UBS MTF Periodic (Periodic)
- Virtu Systematic Internaliser (SI)
- XTX Markets Systematic Internaliser (SI)

Via third party brokers we have access to Asia, Developed Europe, Israel, North America and South Africa.

2 ABGSC Execution Venues - Credit

- ABG Sundal Collier ASA (trading capacity principal)
- Bloomberg
- BMTF
- Nasdaq Copenhagen
- Nasdaq Helsinki
- Nasdaq Norway
- Nasdaq Stockholm
- Nordic ABM
- Oslo Börs

3 ABGSC Execution Venues - Derivatives

- ABG Sundal Collier ASA (trading capacity principal)
- CBOT
- CME
- EUREX
- ICE
- Nasdaq Copenhagen
- Nasdaq Helsinki
- Nasdaq Norway
- Nasdaq Stockholm
- Oslo Börs

4 ABGSC Execution Venues - FX

- ABG Sundal Collier ASA (trading capacity principal)
- Bloomberg